REPRESENTATIONS AND CERTIFICATIONS
SAM SUPPLEMENT
For Commercial Items and Services
(no Intellectual Property Requirements)

For the National Renewable Energy Laboratory
Managed and Operated by the Alliance for Sustainable Energy, LLC

The Alliance for Sustainable Energy, LLC is the Managing and Operating Contractor of the National Renewable Energy Laboratory (NREL) under U.S. Department of Energy (DOE) contract number DE-AC36-08GO28308 and has its principal place of business in Golden, Colorado. NREL requires all subcontractors/suppliers to register in the System for Award Management (SAM) at www.SAM.gov and complete the Representations and Certifications there to do business with NREL. In addition, the following representations and certifications supplement must be completed, and this form must be signed and returned to the requesting NREL subcontract administrator. The Consolidated Appropriations Act of 2014 requires NREL to collect and report certain data about subcontracting activities as of 1/1/2016. NREL obtains Small Business Program reporting data from SAM. NREL may withhold awards to Offerors who have restricted visibility of their information in SAM. Information collected in this representations and certifications supplement is required in addition to that required in SAM as a result of NREL's reporting obligations under contract number DE-AC36-08GO28308.

Any vendor entering into a subcontract or purchase order with NREL is responsible for informing the NREL subcontract administrator of any changed responses provided on this form at any time during the period of performance of any resulting subcontract or purchase order.

1. **Offeror Information**
Required for all solicitations.

Company/Organization Name as reported in SAM.gov *(insert legal name of company)*: Elsevier B.V.

Remittance: It is NREL's standard practice to remit payment electronically via ACH/Wire Banking. Upon notification of award of an NREL purchase order, if Offeror has not previously done business with NREL, Offeror is responsible for returning a completed "Request for ACH/Wire Banking Information" form to the NREL subcontract administrator. The form is located at NREL Procurement Forms, see Request for Proposal (RFP) Forms (Non-Construction) section.

SAM Registration Expiration Date: Nov. 3, 2020 D-U-N-S Number: 406928382

The offeror represents that the following person(s) are authorized to order commercial items on its behalf with NREL in connection with this request for quotation.

PRIMARY POINT(S) OF CONTACT	TITLE	EMAIL & PHONE NUMBER
Lisa Wichman	Account Manager	l.wichman@elsevier.com - (631)242-2188

2. **Congressional District and County**
Required for all solicitations.

A. If different than address provided in Item 1, above, the offeror's principal place of performance of the resulting subcontract is located in:

State of: _____ County of: _____ Congressional District Number: _____

B. If the offeror's principal place of performance of the resulting subcontract is a foreign country, provide:

Country of: The Netherlands Province (or other locale designation): Amsterdam

3. **Taxpayer Identification Derived from FAR 52.204-3 OCT 1998) – See SAM registration.**

4. **Employment Eligibility Verification (Derived from FAR 52.222-54 OCT 2015)**
Required for all solicitations.

A. Offeror certifies that—

☒ E-Verify is not applicable based on FAR 52.222-54 Employment Eligibility Verification.
See E-Verify Exemptions and Exceptions

B. Offeror represents that—

☐ It is ☒ It is not currently enrolled in E-Verify

☐ If not currently enrolled, it will enroll in E-Verify within 30 calendar days of subcontract award.

☐ It will include FAR 52.222-54 in applicable lower-tier subcontracts.

5. **Small Business Program Representations (Derived from FAR 52.219-1 OCT 2014) – See also SAM Registration.**
Required for all solicitations.

Offeror represents that it ☐ **is a small business under NAICS Code(s):** **NAICS Title(s):**

☒ **is not a small business.**

Indicate all applicable SBA subcategories for which offeror is eligible under the NAICS code applicable to this subcontract: Check appropriate box(es):				**Indicate if Offeror Currently Holds any Government-Wide Contracts**	
Small Business	☐	**Service-Disabled Veteran Owned**	☐	**Federal Supply Schedule (GSA)**	☐
Small Disadvantaged Owned	☐	**Veteran Owned**	☐	**Integrated Contractor Purchasing Team (DOE)**	☐
Women Owned Small Business	☐	**HubZone-SBA Certified**	☐	**Other**	☐

Notice

1. If this solicitation is for supplies and has been set aside, in whole or in part, for small business concerns, then the clause in this solicitation providing notice of the set-aside contains restrictions on the source of the end items to be furnished.

2. Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a business concern that is small, HUBZone small, small disadvantaged, service-disabled veteran-owned small, economically disadvantaged women-owned small, or women-owned small eligible under the WOSB Program in order to obtain a subcontract to be awarded under the preference programs established pursuant to section 8, 9, 15, 31, and 36 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall—

   i. Be punished by imposition of fine, imprisonment, or both;

   ii. Be subject to administrative remedies, including suspension and debarment; and

   iii. Be ineligible for participation in programs conducted under the authority of the Act.

6. **Buy American Act Certificate (Derived from FAR 52.225-2 MAY 2014) – See also SAM registration.**
Required for solicitations containing FAR 52.225-1.

A. The offeror certifies that each end product, except those listed in Paragraph B. of this provision, is a domestic end product and that for other than COTS items, the offeror has considered components of unknown origin to have been mined, produced, or manufactured outside the United States. The offeror shall list as foreign end products those end products manufactured in the United States that do not qualify as domestic end products, i.e., an end product that is not a COTS item and does not meet the component test in paragraph (2) of the definition of "domestic end product." The terms "commercially available off-the-shelf (COTS) item," "component," "domestic end product," "end product," "foreign end product," and "United States" are defined in the clause of this solicitation entitled "Buy American Act—Supplies."

B. Foreign End Products:

Line Item No.	Country of Origin

C. NREL will evaluate Offers in accordance with the policies and procedures of Part 25 of the FAR.

7. **Affirmative Action Compliance (FAR 52.222-25) – See SAM registration.**

8. **Previous Contracts/Subcontracts and Compliance Reports (FAR 52.222-22) – See SAM registration.**

9. **Compliance with Veterans' Employment Reporting Requirements (FAR 52.222-38) – See SAM registration.**

10. **Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions (FAR 52.203-11) – See SAM registration.**

11. **Certification Regarding Responsibility Matters (FAR 52.209-5) – See SAM registration.**

12. **Prohibition on Conducting Restricted Business Operations in Sudan—Certification (FAR 52.225-20 AUG 2009) – See SAM registration.**

13. **Export Control**
Required for all solicitations where property will be acquired. Export Control Classification Number (ECCN) information can be found on the U.S. Department of Commerce Bureau of Industry and Security website by clicking on this link: Commerce Control List (CCL)

A. Offeror represents that the property has an Export Control Classification Number (ECCN) identified as—

☐ "EAR99" for all property

and/or ☐ has a classification number other than "EAR99" (see Paragraph B, below).

If selected provide the ECCN(s) for each item to be acquired:

Item #	Item Name	ECCN #

B. ***If the ECCN for any item being delivered is other than "EAR99",* offeror must immediately contact the NREL Export Control Officer and identify the ECCN for each item, prior to the delivery of property.** The NREL Export Control Officer can be contacted, at export.control@nrel.gov or phone (303) 275-4269.

14. **SAM Incorporation by Reference (implemented through FAR 52.204-8)**

The Offeror has completed the annual representations and certifications electronically in SAM website accessed through https://www.sam.gov. After reviewing the SAM information, the offeror verifies by submission of the offer that the representations and certifications currently posted electronically that apply to this solicitation as indicated in paragraph (c) of this provision have been entered or updated within the last 12 months, are current, accurate, complete, and applicable to this solicitation (including the business size standard applicable to the NAICS code referenced for this solicitation), as of the date of this offer and are incorporated in this offer by reference (see FAR 4.1201); except for the changes identified below [offeror to insert changes, identifying change by clause number, title, date]. These amended representation(s) and/or certification(s) are also incorporated in this offer and are current, accurate, and complete as of the date of this offer.

FAR Clause #:	Title	Date	Change

Any changes provided by the offeror are applicable to this solicitation only, and do not result in an update to the representations and certifications posted on SAM.

14. Certification

Required for all solicitations.

A person authorized to make legally binding commitments on behalf of the offeror must sign below. By signing below, the offeror certifies, under penalty of law, that these representations and certifications are accurate, current, and complete.

Company/Organization Name: ELSEVIER B.V.

Signature:

Signer's Name (Printed): REMCO BENNEVOETS

Title: VICE PRESIDENT OF ~~OFFE~~ GLOBAL ACCOUNT SUPPORT

Date: 12/4/2019

Telephone Number:

Email Address: